

# **Donor Application**

Suffix

Year of Birth

For Individuals

To establish a donor-advised fund with National Philanthropic Trust (NPT), please first refer to NPT's **A Guide to Your Donor-Advised Fund** for an overview of the program and policies.

You may complete the donor application either by using this form or through the online application, beginning with *New User Registration*, at <a href="mailto:nptgivingpoint.org">nptgivingpoint.org</a>. Following completion of the donor application, please submit a Contribution Agreement, also available at <a href="nptgivingpoint.org">nptgivingpoint.org</a> to establish a donor-advised fund of \$10,000 or more.

If you need assistance, call (888) 878-7900 or send an email to <a href="mailto:npt@nptrust.org">npt@nptrust.org</a>.

### 1. Name Your Donor-Advised Fund

Choose a name for your donor-advised fund. You may name it for yourself, for your family (*The Smith Family Charitable Fund*), in memory of someone, or for a particular charitable purpose (*Save the Rain Forests Fund*). Donor-Advised Fund Name

### 2. Name Your Advisors

### Primary Advisor (Required)

First Name

Prefix

The primary advisor is typically the primary donor to the donor-advised fund. The primary advisor may recommend grants and investment allocations, view fund information and name successors. The primary advisor may designate a joint advisor who will have the same level of access to and involvement with the donor-advised fund.

Last Name

Street Add	Iress		City	Sta	ate	ZIP			
Country		Email		Phone (Requ	uired for	online access)			
A joint advi successors typically na	Joint Advisor (Optional)  A joint advisor has the authority to recommend grants and investment allocations, view fund information and name successors. The joint advisor remains an advisor to the fund in the event of the primary advisor's death. A primary advisor typically names a spouse, partner, family member or friend as a joint advisor to the donor-advised fund. The primary advisor may remove and/or replace the joint advisor at any time, with the written consent of the joint advisor.								
Prefix	First Name	MI	Last Name	Su	ıffix	Year of Birth			
Street Add	Iress		City	Sta	ate	ZIP			
Country		Email		Phone (Requ	uired for	online access)			

### Secondary Advisor (Optional)

You may name one or more individuals, such as a relative or friend, as a secondary advisor. Secondary advisors will not succeed the primary nor joint advisors unless specifically named as successors. Secondary advisors with full access may recommend grants and investment allocations. Please make copies of this page if you wish to designate more than two secondary advisors.

Prefix	First Name	MI	Last Name		Suffix	Year of Birth
Street Ac	ddress		City		State	ZIP
Country		Email		Phone		
Read Full A	•	ts the authorized	I party to view donor-advised fur to view donor-advised fund info			
Prefix	First Name	MI	Last Name		Suffix	Year of Birth
Street Ac	ddress		City		State	ZIP
Country		Email		Phone		

### **Secondary Advisor is authorized to:**

Read-only Access: Permits the authorized party to view donor-advised fund information online **OR** Full Access: Permits the authorized party to view donor-advised fund information online **AND** to recommend investments and grants.

## 3. Create a Legacy Plan (Optional)

The primary and/or joint advisor may select any combination of three Legacy Plan options (subject to the qualifications noted below). Please designate the respective percentages of remaining DAF account assets that you would like distributed according to the three options upon the notification of the death or incapacity of the last primary or joint advisor. The total percentage of DAF assets allocated to selected Legacy Plan options must equal 100%.

OPTION 1: SUCCESSOR ADVISORS	OPTION 2: CHARITABLE BENEFICIARIES	OPTION 3: ENDOWMENT PROGRAM (\$100,000 minimum required)*	
Name individual successor advisors to your DAF. Each will be designated as a primary advisor on a new DAF account at the time of Legacy Plan activation (e.g., receipt of death certificate).	Designate one or more charities to receive a lump-sum grant at the time of Legacy Plan activation (e.g., receipt of death certificate). Minimum grant amount is \$250/per charity.	Select total percentage of DAF assets designated to Option 3 – Endowment Program.	
Select percentage of DAF assets designated to each named successor advisor.	Select percentage of DAF assets designated to each named Charitable Beneficiary.	Select the total percentage of Endowment Account assets to be allocated annually among all named beneficiaries.	
If division of assets for Option 1 creates DAF account(s) with balance(s) below \$10,000, successor(s) will have 90 days to bring balance up to the \$10,000 DAF minimum or recommend grants to qualified charities.	If a designated charity is not eligible to receive a grant at the time of Legacy Plan activation, designated assets will be reallocated among the remaining charities.**	Designate one or more charities to receive an annual recurring grant and specify the percentage that each charity will receive from the Endowment Account balance. Allocation must equal 100%. Minimum grant amount is \$250/per charity.  Example: Charity #1 (40%), Charity #2 (60%).	
\$100,000 minimum required at the time of Legacy F eligibility. If available DAF assets are less than \$100 to Option 2.  If no designated charity is eligible to receive grants will make a grant to qualified charitable organization scope (as determined by NPT in its sole discretion)	Assets allocated to the Endowment Account will be invested in the Conservative investment pool option available at the time of activation; trading will occur within 90 days of the receipt of written notice of the Legacy Plan activation (e.g., receipt of death certificate).		
balance of the account to the NPT Giving Fund.	When an Endowment Account balance reaches \$25,000, final lump-sum grant(s) will be made to designated charities.**		

## 3. Create a Legacy Plan (continued)

## **Option 1: Successor Advisor**

Optio	on 1: Successor A	avisor				
Option 1	- Allocation Subtot	al:				%
	designate individual s successors.	uccessors to	your DAF. Ple	ease make copies of this page	e if you wish	to designate more
SUCCES Prefix	SSOR 1 First Name	MI	Last Name		Suffix	Allocation %
Street Ad	dress			City	State	ZIP
Email				Phone		Year of Birth
SUCCES Prefix	SSOR 2 First Name	MI	Last Name		Suffix	Allocation %
Street Ad	dress			City	State	ZIP
Email				Phone		Year of Birth
	on 2: Charitable Be		3			%
You may			Please make co	ppies of this page if you wish	to designate	
CHARITA Charity N	ABLE BENEFICIAR ame	Y 1		Tax ID number (if known)		Allocation %
Street Ad	dress			City	State	ZIP
Email				Phone		
CHARITA Charity N	ABLE BENEFICIAR ame	Y 2		Tax ID number (if known)		Allocation %
Street Ad	dress			City	State	ZIP

Phone

Email

## 3. Create a Legacy Plan (continued)

## Option 3: Endowment Program (\$100,000 minimum required)

Option 3 – Allocation Subtotal for Endowment Pro	gram:		%
% of assets to be allocated annually to charities li	sted below:		%
You may designate one or more qualified charitable or designate more than two charitable organizations.	ganizations. Please make copies	of this page	e if you wish to
ENDOWMENT CHARITABLE ORGANIZATION 1 Organization Name		Endowr	ment Allocation %
Street Address	City	State	ZIP
Email	Employer ID # (if known)	Phone	
ENDOWMENT CHARITABLE ORGANIZATION 2 Organization Name		Endowr	ment Allocation %
Street Address	City	State	ZIP
Email	Employer ID # (if known)	Phone	
ОРТ	TION 1 – ALLOCATION SUBTO	TAL: %	
ОРТ	TION 2 – ALLOCATION SUBTO	TAL: %	
ОРТ	TION 3 – ALLOCATION SUBTO	TAL: %	

**TOTAL: 100%** 

### 4. Recommend an Investment Allocation

Before recommending an investment allocation, please review the *Investment Descriptions and Performance* document. This step is not required to establish your new DAF and may be completed at a later date. If no investment allocation is selected at the time a new account is funded, contributed assets will be allocated to the Select Government Preferred Fund (SGPXX).

#### **Model Portfolios**

If Model Portfolios are chosen, the total allocation on this form must equal 100%. Each selection must reflect a minimum allocation of 25%.

**Impact Portfolios**: Using industry-leading mutual funds, exchange-traded funds (ETFs), separately managed accounts (SMAs) and/or alternative investment funds.

- % Equity and Inclusion Seeks to narrow inequalities in society by creating economic opportunity that advances equity and inclusion
- % Environmental Stewardship Manages climate change risks and protects and conserves natural resources. Moderate risk profile
- % Gender Lens Supports the advancement of women in the workplace and beyond. Moderate risk profile
- % Broad Social Impact Advances solutions to global challenges, including conservation, financial inclusion and access to healthcare. Growth risk profile

Actively Managed Portfolios: Using industry-leading mutual funds.

% Conservative Portfolio

% Moderate Portfolio

% Growth Portfolio

Index Fund Portfolios: Using low-cost ETFs.

% Conservative Index Portfolio

% Moderate Index Portfolio

% Growth Index Portfolio

#### **Money Market Portfolios**

% Select Government Preferred Fund (SGPXX)

% Select Treasury Preferred Fund (STPXX)

## **100% TOTAL**

### Segregated Account - Financial Advisor Managed

Donors with accounts over \$500,000 may recommend that a qualified financial advisor manage the DAF investments in a segregated account on a discretionary basis. For non-discretionary accounts, the minimum is \$2 million. To establish an NPT segregated account, have your financial advisor contact NPT at (888) 878-7900 or <a href="mailto:nptrust.org">npt@nptrust.org</a> for the appropriate paperwork. NPT also offers access to certain private impact investment opportunities for segregated accounts. If you are interested in learning more about our Customized Impact Investment offering, please visit <a href="mailto:nptrust.org/customized-impact-investing">nptrust.org/customized-impact-investing</a>.

**Please Note:** NPT's Investment Policy Guidelines apply to all segregated accounts. Non-traditional assets such as alternative or private investment funds are subject to certain investment minimums. For more information about minimums and fees for segregated accounts, please see A Guide to Your Donor-Advised Fund.

## 5. Add a Financial Advisor (Optional)

You may name a financial advisor (FA) to your donor-advised fund. An FA may have read-only account access or the authority to submit grant recommendations and investment allocations on behalf of the primary/joint advisors. (Note: FAs do not have independent advisory privileges to recommend grants or investments. They may only submit recommendations on behalf of the primary and/or joint advisors).

#### Financial Advisor is authorized to:

Read-only Access: Permits FA to view donor-advised fund information online **OR**Full Access: Permits FA to view donor-advised fund information online **AND** to submit investment and grant recommendations.

Financial Prefix	Advisor Name First Name	MI	Last Name		Suffix
Company					
Street Add	ress		City	State	ZIP
Email			Phone		
Is the FA th	he donor or primary/joint advisor o No	r related to the	e donor or primary/joint advisor?	?	
If Yes, how	<i>i</i> ?				

FAs are ineligible to receive compensation when the FA is the donor or a direct family member of the donor. The individuals that are considered "disqualified" (and thus cannot receive compensation) are: (i) a donor to the DAF ("Donor"), (ii) a person with advisory privileges with respect to the DAF ("Advisor"), (iii) a spouse of a Donor or an Advisor, (iv) a child, grandchild, great-grandchild, ancestor or sibling of a Donor or an Advisor (each a "Family Member"), (v) a spouse of a Family Member.

Only the primary advisor can allow full access for FAs. By signing below, the primary advisor authorizes their FA (with full access) to determine team members and access level of their team members. FAs are responsible for updating their relationship teams with NPT. Only individuals listed on the DAF account (which will include, without limitation, the team members named below and any team members added by the FA) will have access to DAF account information.

## 6. Add a Team Member (Optional)

Team members are other associates who may work with your FA and may have access to the donor-advised fund. Please list the names of those team members, and the access level permitted for each. If there is more that one team member to add, please make additional copies of this page as needed.

Team Mo	ember				
Prefix	First Name	MI	Last Name	Suf	ffix
Compan	у				
Street Ad	ddress		City	State ZIP	
Email			Phone		
Read	only Access: Permits te	eam member to view d	onor-advised fund infor	mation online <b>OR</b>	
	ccess: Permits team mercecommendations	ember to view donor-a	dvised fund information	online AND to submit inves	stment and
7. Ackı	nowledgement of	Terms			
This sect	ion must be signed by th	e primary and joint advi	sor, if both advisors are l	sted on this application.	
by Nation I acknown condition application NPT's Ki	nal Philanthropic Trust ( rledge that I have read I is contained therein. I c on is accurate and I will now Your Client/Anti-Mo	NPT), and that NPT re NPT's <b>A Guide to You</b> ertify that, to the best o notify NPT in writing o oney Laundering Proce	tains exclusive legal cor r <b>Donor-Advised Fund</b> of my knowledge, all info f any changes. Addition	nutions when received and a ntrol over contributed asset and agree to the terms and ormation in connection with ally, I understand, in accord otain, record and verify infor PT.	ts. I/or this dance with
Primary	Advisor Signature				
Primary	Advisor Print Name			Date (MM/I	DD/YYYY)
Joint Ad	lvisor Signature				
Joint Adv	visor Print Name			Date (MM/I	DD/YYYY)
RETURI	N THIS COMPLETED	FORM BY EMAIL OF	R MAIL TO: National P	hilanthropic Trust	

## **Next Steps**

Please complete the *Contribution Agreement* to fund and establish your DAF. You may complete that process online at <a href="nptgivingpoint.org">nptgivingpoint.org</a>.

165 Township Line Road, Suite 1200, Jenkintown, PA 19046 | npt@nptrust.org