National Philanthropic Trust

Your partner in giving

Donor Application

For Legal Entities

Please complete this form and a ***Contribution Agreement*** to establish a donor-advised fund with National Philanthropic Trust (NPT). Refer to ***A Guide to Your Donor-Advised Fund*** for an overview of the program and policies.

If you need assistance, call (888) 878-7900 or send an email to npt@nptrust.org.

1. Name Your Donor-Advised Fund

Choose a name for your donor-advised fund. You can name it for your organization (*The ABC Corporation Fund* or *The ABC Foundation*), in memory of someone, or for a particular charitable purpose (*The ABC Education Fund*).

Donor-Advised Fund Name

2. Entity Information

Identify the organization (the "Entity") establishing this donor-advised fund.

Entity Name

Street Address	City	State	ZIP

Email	Phone

Entity Type (please select one)

- ☐ Corporation
- ☐ Partnership
- ☐ LLC
- ☐ Trust
- ☐ Other (please explain)

Private Foundation

☐ Check this box if the entity is a private foundation.

3. Authorized Advisors

Identify up to two individuals who are authorized by the Entity to transact business with NPT on behalf of the Entity. Each individual identified below will have the authority, acting alone, to view all donor-advised fund information, recommend grants and investments, and sign contribution agreements and other documentation related to the donor-advised fund on behalf of the Entity. Each individual will remain an Authorized Advisor to the donor-advised fund until such time as NPT receives written notice from an officer of the Entity revoking this authorization.

Prefix	First Name	MI	Last Name	Title

Email	Phone

Prefix	First Name	MI	Last Name	Title

Email	Phone

4. Officer Certification

Note: An officer of the Entity must complete this Certification and provide evidence of the individual's appointment as an officer (e.g. a corporate resolution, operating agreement, or other organizational document through which officers are appointed). For trusts, the trustee(s) must complete this Certification and provide a copy of the trust agreement or a trust certification that identifies all trustees and confirms the trustee's authority to make distributions from the trust to NPT.

By signing below, I certify that:

– I am an officer of the Entity and have the authority to make all representations contained here.

– Each individual appointed as an Authorized Advisor in Section 3 has the authority, acting alone, to transact business with NPT on behalf of the Entity. This authority includes, without limitation, the ability to access all donor-advised fund information, recommend grants and investments for the donor-advised fund, and sign contribution agreements and other documentation related to the donor-advised fund on behalf of the Entity.

– The Entity hereby releases and discharges NPT and its directors, officers, employees, and agents from and against any and all claims and/or liabilities in any way relating to, arising out of, or resulting from instructions believed by NPT to have originated from any Authorized Advisor.

– This Certification will remain in full force and effect and may be relied upon by NPT until such time as NPT receives written notice from an officer of the Entity revoking this Certification. The revocation will not affect any action taken by NPT before it has had a reasonable amount of time to act upon the revocation.

Certifying Officer Signature ______________________ Date (MM/DD/YYYY) __________

Prefix	First Name	MI	Last Name	Title

Email	Phone

5. Recommend an Investment Allocation

Before recommending an investment allocation, please review the ***Investment Descriptions and Performance*** document. This step is not required to establish your new DAF and may be completed at a later date. If no investment allocation is selected at the time a new account is funded, contributed assets will be allocated to the Select Government Preferred Fund (SGPXX).

☐ Model Portfolios

If Model Portfolios are chosen, the total allocation on this form must equal 100%. Each selection must reflect a minimum allocation of 25%.

Impact Portfolios: Using industry-leading mutual funds, exchange-traded funds (ETFs), separately managed accounts (SMAs) and/or alternative investment funds.

____ % Equity and Inclusion – *Seeks to narrow inequalities in society by creating economic opportunity that advances equity and inclusion*

____ % Environmental Stewardship – *Manages climate change risks and protects and conserves natural resources. Moderate risk profile*

____ % Gender Lens – *Supports the advancement of women in the workplace and beyond. Moderate risk profile*

____ % Broad Social Impact – *Advances solutions to global challenges, including conservation, financial inclusion and access to healthcare. Growth risk profile*

Actively Managed Portfolios: Using industry-leading mutual funds.

____ % Conservative Portfolio

____ % Moderate Portfolio

____ % Growth Portfolio

Index Fund Portfolios: Using low-cost ETFs.

____ % Conservative Index Portfolio

____ % Moderate Index Portfolio

____ % Growth Index Portfolio

Money Market Portfolios

____ % Select Government Preferred Fund (SGPXX)

____ % Select Treasury Preferred Fund (STPXX)

100% TOTAL

☐ Segregated Account – Financial Advisor Managed

Donors with accounts over $500,000 may recommend that a qualified financial advisor manage the DAF investments in a segregated account on a discretionary basis. For non-discretionary accounts, the minimum is $2 million. To establish an NPT segregated account, have your financial advisor contact NPT at (888) 878-7900 or npt@nptrust.org for the appropriate paperwork. NPT also offers access to certain private impact investment opportunities for segregated accounts. If you are interested in learning more about our Customized Impact Investment offering, please visit nptrust.org/customized-impact-investing.

Please Note: NPT's Investment Policy Guidelines apply to all segregated accounts. Non-traditional investments such as alternative or private investment funds are subject to certain investment minimums. For more information about minimums and fees for segregated accounts, please see A Guide to Your Donor-Advised Fund.

6. Add a Financial Advisor (Optional)

You may name a financial advisor (FA) to your donor-advised fund. An FA may have read-only account access or the authority to submit grant recommendations and investment allocations on behalf of the authorized advisors. (Note: FAs do not have independent advisory privileges to recommend grants or investments. They may only submit recommendations on behalf of the authorized advisors).

Financial Advisor is authorized to:

☐ Read-only Access: Permits FA to view donor-advised fund information online **OR**

☐ Full Access: Permits FA to view donor-advised fund information online **AND** to submit investment and grant recommendations.

Financial Advisor Name

Prefix	First Name	MI	Last Name	Suffix

Company

Street Address	City	State	ZIP

Email	Phone

Is the FA the donor or authorized advisor or related to the donor or authorized advisor?

☐ Yes ☐ No

If Yes, how?

FAs are ineligible to receive compensation when the donor is the FA or a direct family member. The individuals that are considered “disqualified” (and thus cannot receive compensation) are: (i) a donor to the DAF (“Donor”), (ii) a person with advisory privileges with respect to the DAF (“Advisor”), (iii) a spouse of a Donor or an Advisor, (iv) a child, grandchild, great-grandchild, ancestor or sibling of a Donor or an Advisor (each a “Family Member”), (v) a spouse of a Family Member.

Only the primary advisor can allow full access for FAs. By signing below, the primary advisor authorizes their FA (with full access) to determine team members and access level of their team members. FAs are responsible for updating their relationship teams with NPT. Only individuals listed on the DAF account (which will include, without limitation, the team members named below and any team members added by the FA) will have access to DAF account information.

6. Add a Team Member (Optional)

Team members are other associates who may work with your FA and may have access to the donor-advised fund. Please list the names of those team members, and the access level permitted for each. If there is more that one team member to add, please make additional copies of this page as needed.

Team Member

Prefix | First Name | MI | Last Name | Suffix

Company

Street Address | City | State | ZIP

Email | Phone

☐ Read-only Access: Permits team member to view donor-advised fund information online **OR**

☐ Full Access: Permits team member to view donor-advised fund information online **AND** to submit investment and grant recommendations

7. Acknowledgement of Terms

Each Authorized Advisor agrees that all information contained in this Application is true and correct to the best of such Authorized Advisor's knowledge, including that the Authorized Advisor has the authority to act on behalf of the Entity as provided herein.

Each Authorized Advisor understands, in accordance with NPT's Know Your Client/Anti-Money Laundering Procedures, that NPT may obtain, record and verify information that identifies each person who makes a contribution to a donor-advised fund at NPT.

Authorized Advisor Signature

Print Name | Date (MM/DD/YYYY)

Authorized Advisor Signature

Print Name | Date (MM/DD/YYYY)

RETURN THIS COMPLETED FORM BY EMAIL OR MAIL TO: National Philanthropic Trust
165 Township Line Road, Suite 1200, Jenkintown, PA 19046 | npt@nptrust.org